# Self-Supervised Learning w/ Attention Mechanism

#### CSCI 601 471/671 NLP: Self-Supervised Models

https://self-supervised.cs.jhu.edu/sp2023/



[Slide credit: Chris Tanner, Jacob Devlin and many others ]

#### Logistics Update

- Q: Will there be any normalization ("curve fitting") for the final grades? **Nope**
- Q: Will we have access to large[r] GPUs? **Yes**, Details after the midterm.
- The midterm:
  - will be on March 7 during class time.
  - o it will be on paper
  - It will be based on the ideas you have seen in homework and lectures. If you understand them, you're set!
  - Scope HW 1-5 and lectures until today (Feb 23)

#### Language Models: A History

- Probabilistic n-gram models of text generation [Jelinek+ 1980's, ...]
  - Applications: Speech Recognition, Machine Translation
- Word representation learning [Brown 1992, ...]
  - Brown, LSA, Word2Vec, Glove ...
- Statistical or shallow neural LMs (late 90's mid oo's) [Bengio+ 2001, ...]
- Pre-training deep neural language models (2017's onward):
  - Many models based on: Self-Attention

#### RNNs, Back to the Cons

- While RNNs in theory can represent long sequences, they quickly forget portions of the input.
- Vanishing/exploding gradients
- Difficult to parallelize

#### Self-Attention

- b<sup>i</sup> is obtained based on the whole input sequence.
- can be parallelly computed.



#### Idea: replace any thing done by RNN with self-attention.

"Neural machine translation by jointly learning to align and translate" Bahdanau etl. 2014; "Attention is All You Need" Vaswani et al. 2017

[adopted from Hung-yi Lee]

#### Attention

• <u>Core idea</u>: build a mechanism to focus ("attend") on a particular part of the context.



# **Defining Self-Attention**

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  - o Query: to match others
  - Key: to be matched
  - Value: information to be extracted

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[Vaswani et al. 2017: https://arxiv.org/abs/1706.03762]

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*q*: query (to match others)  $q_i = W^q x_i$  *k*: key (to be matched)  $k_i = W^k x_i$ 

v: value (information to be extracted)

$$v_i = W^v x_i$$



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#### Self-Attention

- Can write it in matrix form:
- Given input **x**:

 $Q = \mathbf{W}^{q} \mathbf{x}$  $K = \mathbf{W}^{k} \mathbf{x}$  $V = \mathbf{W}^{v} \mathbf{x}$ Attention(**x**) = softmax  $\left(\frac{QK^{T}}{\alpha}\right) V$ 



#### The most important formula in deep learning after 2018

#### Self-Attention

What is self-attention? Self-attention calculates a weighted average of feature representations with the weight proportional to a similarity score between pairs of representations. Formally, an input sequence of n tokens of dimensions d,  $X \in \mathbf{R}^{n \times d}$ , is projected using three matrices  $W_Q \in \mathbf{R}^{d \times d_q}$ ,  $W_K \in \mathbf{R}^{d \times d_k}$ , and  $W_V \in \mathbf{R}^{d \times d_v}$  to extract feature representations Q, K, and V, referred to as query, key, and value respectively with  $d_k = d_q$ . The outputs Q, K, V are computed as

$$Q = XW_Q, \quad K = XW_K, \quad V = XW_V. \tag{1}$$

So, self-attention can be written as,

$$S = D(Q, K, V) = \operatorname{softmax}\left(\frac{QK^T}{\sqrt{d_q}}\right)V,$$
 (2)

where softmax denotes a *row-wise* softmax normalization function. Thus, each element in S depends on all other elements in the same row.

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An approach: Sine/Cosine encoding



#### Self-Attention: Back to Big Picture

- Attention is a powerful mechanism to create context-aware representations
- A way to focus on select parts of the input



• Better at maintaining long-distance dependencies in the context.

#### **Properties of Self-Attention**

Layer Type	Complexity per Layer	Sequential Operations
Self-Attention Recurrent	$egin{array}{l} O(n^2 \cdot d) \ O(n \cdot d^2) \end{array}$	$O(1) \ O(n)$

- n =sequence length, d =hidden dimension
- Quadratic complexity, but:
  - 0 O(1) sequential operations (not linear like in RNN)
- Efficient implementations

#### **Multi-Headed** Self-Attention

- Multiple parallel attention layers is quite common.
  - Each attention layer has its own parameters.
  - Concatenate the results and run them through a linear projection.





How Do We Make it **Deep**?

• Add a feed-forward network on top it to add more capacity/expressivity.





#### How Do We Prevent Vanishing Gradients?

- Residual connections let the model "skip" layers
  - These connections are particularly useful for training deep networks

• Use layer normalization to stabilize the network and allow for proper gradient flow



#### Putting It Together

Given input **x**:

 $Q = \mathbf{W}^{q} \mathbf{x}$  $K = \mathbf{W}^{k} \mathbf{x}$  $V = \mathbf{W}^{v} \mathbf{x}$ Attention( $\mathbf{x}$ ) = softmax  $\left(\frac{QK^{T}}{\alpha}\right)V$ 





# Transformer

• An encoder-decoder architecture built with attention modules.



• Computation of **encoder** attends to both sides.



**Encoder Self-Attention** 



• At any step of **decoder**, it attends to previous computation of **encoder** 



• At any step of **decoder**, it attends to previous computation of **encoder** as well as **decoder's** own generations



• At any step of **decoder**, it attends to previous computation of **encoder** as well as **decoder's** own generations

- At any step of decoder, re-use previous computation of encoder.
- Computation of decoder is **linear**, instead of quadratic.



- An encoder-decoder architecture
- 3 forms of attention



Output Probabilities

Softmax

Linear

Add & Norm

Feed Forward

#### Impact of Transformers

• Let to better predictive models of language!

Model	Layers	Heads	Perplexity
LSTMs (Grave et al., 2016)	-	-	40.8
QRNNs (Merity et al., 2018)	-	-	33.0
Transformer	16	16	19.8

# Wrapping it up

• Yaaay we know Transformers now! 😔

• Midterm will be up to here!

• Next: extensions on Transformers.